

# Farmers' preferences towards water hyacinth control in Lake Tana: a contingent valuation study

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## ARTICLE INFO

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### Keywords:

Invasive species

Contingent valuation

Ecosystem services

Willingness to pay

Willingness to contribute labor

Socio-economic impact

### ABSTRACT

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Lake Tana is the most important fresh water lake in Ethiopia and by extension the Horn of Africa. Besides pressures on water quality resulting from urbanization and deforestation, the invasion of the exotic water hyacinth poses new threats to the ecosystem. Water hyacinth or *Eichhornia crassipes* – endemic to South America – is widely considered as the world's worst aquatic invasive weed. In 2011, the weed appeared on the northern shores of Lake Tana. It rapidly expanded in south-eastern direction. The lake area affected by water hyacinths was last estimated in 2015 at 34,500 ha, which equals 16% of the total lake surface. In this research, the perceived benefits of water hyacinth control and eradication for the rural population inhabiting the northern and north-eastern villages bordering Lake Tana, is investigated. In the areas studied, the population largely depends on farming and (less importantly) on fishing. An assessment of the total economic value to inhabitants of the infested shores of Lake Tana was conducted through a contingent valuation survey. Willingness to pay was measured in labor and cash money. A total of 240 households were interviewed in order to assess the value of water hyacinth control to the ecosystem services provided by Lake Tana. The willingness to contribute to the hypothetical Lake Tana Protection Program was questioned for two scenarios: *status quo* (current situation) and *improvement* (complete removal of infestation). Nearly all respondents were willing to contribute labor or cash in order to control and improve the current situation. The mean willingness to pay was estimated at 440.9 ETB yearly ( $\approx$  €13.5) for the status quo scenario and 764.4 ETB yearly ( $\approx$  €23.4) for the improvement scenario. The mean willingness to contribute labor was estimated at 32.6 man-days yearly for the status quo scenario and 51.2 man-days yearly for the improvement scenario. The benefits of control obtained by the study can be compared with costs of control or cost of investing in alternative destinations for harvested water hyacinths to provide potential justification for decision making: control/eradication expenditures vs. infrastructure investments for water hyacinth utilization.

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## 2 Highlights

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- A contingent valuation to estimate the impact of water hyacinth infestation in Lake Tana
  - Conservative extrapolations indicate an impact of over half a million euros a year on local smallholders
  - Assumption of cash constraint in developing countries might not be valid when livelihood deeply affected

- Urge for *adaptation* to the newly defined ecosystem and turn ecosystem disservice into ecosystem service
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## 1. Introduction

Lakes and wetlands offer environmental, ecological, socio-economic, cultural and other benefits. These benefits are characterized as ecosystem services, since it is how ecosystems contribute to human wellbeing (Costanza et al., 1997). Similarly to dryland ecosystems, lake-wetlands increasingly face the threat of degradation, often a result of urbanization, overexploitation, poor water-waste management and unsustainable farming and fishing, which stems from an insufficient understanding and acknowledgement of the values and functions (Schuyt, 2005). However, in contrast to drylands, implications of degradation on the stakeholders are less intensively studied, leading to scant data issues (Xu et al., 2018) and often unsustainable management. With regard to sustainable development, research on these ecosystem services gains momentum (McDonough et al., 2017). In this context, socioeconomic impact assessments are increasingly relevant with regard to water management and control. The values that an ecosystem provides as well as the benefits obtained from its ecosystem services determine the willingness to protect and restore ecosystems (Mueller et al., 2016). Given the non-replaceable nature of many ecosystem services, valuation exercises stipulate the importance of healthy, well-managed natural environments (Mueller et al., 2016).

A perspective often neglected in traditional conservation policies, is that of ecosystem disservices (EDS) (Campagne et al., 2018; Vaz et al., 2017). The perspective of ecosystem disservices originates from criticism on the original ecosystem services (ES) concept, which considers merely beneficial influences of ecosystems. Complementary to ES, EDS take account of the undesirable and (economically) harmful effects of ecosystems (Vaz et al., 2017), or as stated by Shackleton et al. (2016): '*Ecosystem disservices are the ecosystem generated functions, processes and attributes that result in perceived or actual negative impacts on human wellbeing.*' Alien invasive species (AIS) are defined as those non-native species threatening native ecosystems, habitats or species (Pejchar & Mooney, 2009). This does not imply that alien species are necessarily negative, but the existence of AIS could lead to the generation of EDS. Yet, EDS have been sporadically considered in socio-ecological challenges, like AIS (Vaz et al., 2017). In the context of lakes and wetlands the evaluation of ecosystem services has

40 progressed significantly and the threat of AIS in particular, the perspective of potential  
41 socioeconomic implications of ecosystem disservices is less documented.

42 The potential downgrading implications of AIS have been recognized and led to the  
43 adoption of target 9 in the Aichi targets (2011-2020) set by the Convention of Biological  
44 Diversity (CBD), agreed at the Nagoya conference by 196 nations. This target 9 prioritizes the  
45 identification of invasive alien species and pathways, as well as priority species to be controlled  
46 or eradicated by 2020. Regarding this, the National Targets to the Aichi Biodiversity Targets  
47 by Ethiopia objectify the following: “By 2020, the area invaded by invasive species is reduced  
48 by 75% and measures are in place to regulate and monitor invasive species, including newly  
49 emerging ones” (Convention on Biological Diversity, 2019). In the light of such objectives,  
50 assessing the incurred damage by invasive species is a fundamental aspect. In this research, a  
51 valuation of the ecosystem disservice of water hyacinth on local smallholder farming  
52 communities around Lake Tana, Ethiopia was conducted.

53 The Lake Tana Biosphere reserve offers a wide array of ecosystem services. Being the  
54 source of the Blue Nile river (Anteneh et al., 2015), the quality and supply of water of Lake  
55 Tana influences all downstream areas, contributing to the ecosystem services provided there as  
56 well. The influence of the ecosystem services considered will be limited to the Lake Tana  
57 catchment area. First of all, Lake Tana and its fertile wetlands provide important agricultural  
58 value not only to people inhabiting the shores, more remote areas also depend on the irrigation  
59 services of the lake (Worku, 2017). Crop production and communal grazing lands are the  
60 dominant agricultural activities, (Wondie, 2018). Secondly, many kebeles (i.e. the smallest  
61 administrative unit in Ethiopia) are only accessible by boat (Anteneh et al., 2015). The islands  
62 on Lake Tana have significant spiritual value with their Christian Orthodox monasteries and  
63 churches. These commercial and cultural values contribute to the relevance of transportation  
64 services provided by Lake Tana. Thirdly, there are approximately 5000 fisherfolk around Lake  
65 Tana, directly contributing to the livelihood of many (Amare et al., 2018). Fourthly, the water  
66 from Lake Tana forms an inlet for several hydroelectric power plants (Tesfaye et al., 2016).  
67 Finally, the importance of tourism to the region is increasing, with Lake Tana as one of the  
68 main attractions (Anteneh et al., 2015). Apart from the ecological value of the Lake Tana  
69 Biosphere Reserve, the abovementioned ecosystem services contribute to the significant  
70 economic, social and cultural added value of Lake Tana.

71 According to Anteneh et al. (2014), Lake Tana was formally recognized to be infested  
72 with water hyacinths as from 2011. Water hyacinth or *Eicchornia Crassipes* is an aquatic AIS,  
73 possibly originating from Brazil from where it spread to nearly all of the Southern and Central

74 American countries. Almost all countries between 40°N and 40°S face (the threat of)  
75 infestations on lakes, slowly moving rivers or swamps (Malik, 2007). Water hyacinth is a free-  
76 floating plant, known for its rapid reproduction and its tendency for mat-forming. Infestations  
77 with water hyacinth are considered to lead to unfavorable ecological and socio-economic  
78 changes. Penfound Wm and Earle (1948) already mention of how water hyacinth infestations  
79 induce damage: (1) obstructing navigation, (2) impeding drainage, (3) destroying wildlife  
80 resources, (4) reducing out-of-doors recreation, and (5) constituting a hazard to life. Classified  
81 as one of the world's most productive plants and worst aquatic weeds (Lowe et al., 2000),  
82 eradicating an infestation is extremely challenging. In 2017 and 2018, local awareness of the  
83 problem increased drastically through media coverage aimed at informing the public (e.g. BBC  
84 News (2018)). Moreover, Anteneh et al. (2015) concluded that regardless of the communal  
85 efforts of physically removing water hyacinth, the weed remains difficult to control. Due to the  
86 pervasive nature of the water hyacinth, management actions focus on minimizing the socio-  
87 economic and ecological impact (Malik, 2007; Villamagna A & Murphy B, 2010).

88 In order for the ecosystem to be managed sustainably, information is needed. However,  
89 with water hyacinth only recently invading Lake Tana, research on the issue is thus far limited.  
90 Research on water hyacinth in Lake Tana has been focused on mapping the coverage and on  
91 qualitative data collection (Anteneh et al., 2014; Anteneh et al., 2015; Asmare, 2017; Sewnet  
92 & Kameswara, 2011). Previous studies on water hyacinth in the area identified the drivers for  
93 (potential) economic damage:

- 94 - Gezie et al. (2018) argued that the infestation has a significantly negative impact on  
95 the water quality and biotic communities and serves as a breeding ground for  
96 mosquitos and other vectors.
- 97 - In (Dejen et al., 2017) it is mentioned that water hyacinth reduces fish catches and  
98 decreases available landing sites.
- 99 - Anteneh et al. (2015) describes clogging of waterways, irrigation channels and  
100 invasion of communal grazing lands.

101 Although these elements have been identified, the extent of the socio-economic implications  
102 has not been investigated with direct stakeholders at the site. According to Schuyt (2005), a  
103 major cause for the failure of wetland management in African countries is the lack of  
104 understanding of the economic value of these wetlands.

105 The aim of this research is to report and explain the economic consequences to local  
106 smallholders by the recent water hyacinth infestation, thus the monetary extent of the EDS.  
107 There is a need to uncover the perceived damage to local farmholders caused by water hyacinth,

108 to allow environmental economists to use reliable inputs for future decision-making and  
109 ecosystem management. Results of this research are expected to provide an input for cost-  
110 benefit analyses, potentially justifying the cost of control/eradication measures. After all, the  
111 Millennium Assessment states that decisions influencing wetlands require full information on  
112 benefits and values of the ecosystem (Millennium Ecosystem Assessment, 2005). Currently,  
113 there is no national wetland policy in Ethiopia (Wondie, 2018), emphasizing the importance of  
114 scientific proof on the E(D)S. All this underlines the relevance of a valuation exercise on the  
115 water hyacinth issue in Lake Tana, Ethiopia. A similar study was conducted in the city of Bahir  
116 Dar with urban dwellers (Tesfa, 2019). However, local people's perception is rarely considered  
117 (Xu et al., 2018), hence this participatory study with direct stakeholders – and currently the first  
118 victims - in the water hyacinth dispute: local smallholders on the infested parts of the Lake Tana  
119 shores.

120 Concretely, the following objectives are defined: (1) estimate the impact of the water  
121 hyacinth infestation on Lake Tana on local smallholders (in terms of money and in terms of  
122 labor contributed) ie. to evaluate the breadth of support for interventions to control water  
123 hyacinth infestation; (2) examine how demographic characteristics influence the willingness to  
124 contribute; (3) compare and interpret the findings with similar other water hyacinth valuations;  
125 (4) evaluate the influence of the payment vehicle on the willingness to contribute and the  
126 assumption of a cash constraint.

## 127 **2. Methods and materials**

### 128 *2.1 Study area*

129 Lake Tana is located in the Amhara National Regional State, in the north-western part of  
130 Ethiopia. The lake surface covers between 3000-3600 km<sup>2</sup>, whereas total lake catchment  
131 includes 15,096 km<sup>2</sup> (Setegn et al., 2008). Lake Tana is shallow with a mean depth of 8 meters  
132 and ranging up to a maximum depth of 14 meters (Anteneh et al., 2015). It lies at an elevation  
133 of 1800 meters above sea level. Formed circa 5 million years ago by volcanic activity, Lake  
134 Tana is the largest freshwater body in Ethiopia and accounts for approximately 50% of  
135 Ethiopia's surface freshwater reserves. It is the source for the Abay river (Blue Nile) which  
136 makes up 85% of the total Nile River discharge (Sewnet & Kameswara, 2011). The Lake Tana  
137 ecosystem was designated as a 'Biosphere Reserve' by UNESCO in 2015. This Man and  
138 Biosphere Reserve program aims at "*improving the overall relationship between people and*

139 *their environment*” (UNESCO, 2017). Lake Tana is of significant ecological and socio-  
140 economic importance (Anteneh et al., 2015).

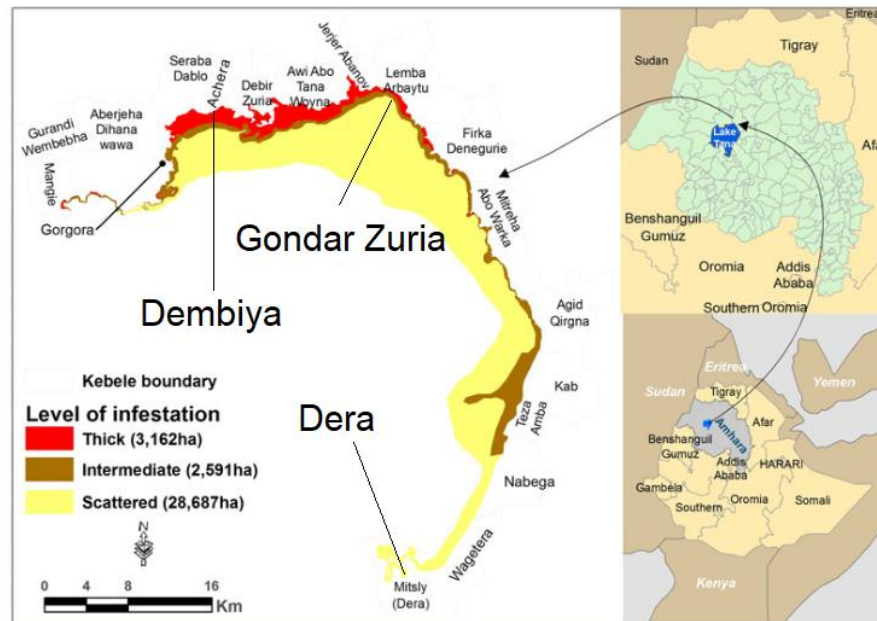


Fig. 1: map of the Lake Tana water hyacinth infestation, adaptation on Dejen et al. (2017)

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According to figures of the Ethiopian government, 85% of people in the Amhara Regional State depend on agriculture as the main source of income. Other main sources of income in the region are trade, fishing, tourism and sand mining (Ethiopian Government Portal, 2018). Poverty and illiteracy levels are high in the rural landscape of the study area. High population growth rates and migration have contributed to the extensive urbanization and augmented direct dependence on the ecosystem services provided by the Biosphere Reserve. Increased land degradation through overexploitation of the wetland resources and poor waste management have increased the nutrient levels and led to eutrophication of the Lake Tana Basin (Wondie, 2010). These circumstances benefitted the actual outbreak of water hyacinth on the northern shores of Lake Tana. This outbreak was officially recognized in 2011, when a coverage of 4,000 ha was reported. The most recent coverage survey by Anteneh et al. (2015) estimates 34,500 ha of the Lake is affected by water hyacinth. Wondie (2018) identified water hyacinth now as the main threat for wetlands in the north of Lake Tana. In what follows, this level of infestation is defined as the *status quo scenario*. Currently, households in the area are expected to participate in regular physical removal campaigns. Water hyacinth infestations follow a cyclical pattern (Ongore et al., 2018) and these campaigns are organized by the local authorities in every district during the period of expansion at the end of the rainy season (September-January). Concretely, the regular campaigns are organized once or twice a week. As a household of the issuing kebele,

161 at least one household member is obliged to contribute. On top of this, larger events are  
162 organized where people from the wider areas are mobilized.

163 The study area for this research was selected from the “Water hyacinth coverage survey”  
164 conducted by Anteneh in 2014 and 2015. Out of five infested districts or woredas, three were  
165 chosen based on severity of infestation, accessibility and recent developments in water hyacinth  
166 coverage. In correspondence with local experts, the kebeles suitable for the study were assigned.  
167 More precisely, the choice of kebele depended on the expertise and familiarity of development  
168 agents, in order to facilitate the process. In each of the three chosen woredas, one kebele was  
169 surveyed: Achera Mariam in Dembiya, Lemba Arbayitu in Gondar Zuria and Tana Mitsili in  
170 Dera (indicated on fig. 1). According to Anteneh (2015), the infestation in Tana Mitsili is the  
171 most recent and consequently the least severe. On the contrary, Achera Mariam on the northern  
172 shore is close to the Megech river mouth, where water hyacinth infestation started in 2011.  
173 Lemba Arbayitu was also infested early, the water hyacinth coverage in Lemba Arbayitu is  
174 considered the most severe. A sample of households proportional to the population in every  
175 village was targeted. After revision, 240 out of 300 distributed questionnaires were filled out  
176 correctly.

## 177 *2.2 Valuation method*

178 The ecosystem services that are provided by Lake Tana can be described as ‘public  
179 goods’. The use of these services is characterized by non-rivalry and non-excludability (Richard  
180 T Carson & Mitchell, 1989). As a result of the water hyacinth infestation (i.e. ecosystem  
181 disservice), these ‘public goods’ cannot be enjoyed to the maximum. To estimate the cost of  
182 the disservice that is generated by the alien invasive species, the contingent valuation method  
183 (CVM) was applied (Loomis et al., 2000). The CVM is a stated-preference method for  
184 economic valuation: surveys are carried out to elicit respondents’ willingness to pay and/or  
185 willingness to contribute labor (Richard T Carson & Mitchell, 1989; Cawley, 2008).

186 A contingent valuation (CV) survey was carried out to derive the willingness to contribute  
187 under the scenario of water hyacinth control and complete removal. Different scenarios to the  
188 hypothetical market can provide additional insight in the utility derived from the ecosystem as  
189 well as the severity of the problem statement. Contingent valuation rests on the assumption that  
190 the economic measure of benefit is the utility stakeholders derive or in this case, the disutility  
191 resulting from EDS. Considering the nature and location of the study, CV was deemed most  
192 suitable. First of all, water hyacinth currently is not exchanged in regular markets, nor is any  
193 eradication effort (e. g. NGO), making revealed preference methods challenging. Additionally,

194 all local households are familiar with the existence and hindrance of water hyacinth and benefit  
195 from removal initiatives, which can be defined as a public good. Another commonly used stated  
196 preference method is a choice experiment. However, choice experiments are suited to assess  
197 economic value of certain attributes of an environmental good (Holmes et al., 2017), which is  
198 not the objective with this study. Lastly, the ability of CV to measure both use and non-use  
199 values makes it qualified to perform the analysis.

### 200 *2.3 Data collection*

201 A formal structured survey was conducted in November 2017. This period coincides with  
202 the harvesting season for water hyacinth. From September/October to January – at the end of  
203 the rainy season - the weed expands most rapidly. During this period local communities  
204 organize campaigns to manually remove the water hyacinths. These manual removal campaigns  
205 usually consist of harvesting the water hyacinth plants and piling them up on the shores (picture  
206 in Appendix C).

207 The questionnaires were prepared in English and pretested with Amharic translators. Pre-  
208 testing took place at a farming conference in Dera woreda. These pre-tests were organized to  
209 test the survey design, as well as people's understanding of the hypothetical market that was  
210 created and their relatedness to the topic of water hyacinth. A total of 15 farmers of a village  
211 similar to those of the study area (i.e. same woreda and water hyacinth infested shoreline) were  
212 surveyed in the presence of Bahir Dar University (BDU) personnel, afterwards the survey was  
213 revised. Revisions mainly involved adjustments to the ranges of contributions. The revised  
214 survey was translated to Amharic. The surveys were all carried out by trained development  
215 agents, in the company of local experts and the first author (Van Oijstaeijen, W.). The units of  
216 analysis in this study are households living in the kebeles mentioned in 2.1. Households were  
217 chosen because contributions to public goods are assumed to be made as a household (e.g.  
218 current land tax). In the Lake Tana area, the census in 2007 reported that households comprise  
219 5.0 members on average (Stave et al., 2017). Respondents were chosen using clustered random  
220 sampling. Public gatherings such as church days and public holiday gatherings in local  
221 communities were seized to approach as many respondents as possible for face-to-face  
222 interviews. The selected kebeles are all situated on the shores of the lake. Inhabitants in these  
223 kebeles live from crop production and livestock production.

224 One of the conditions for credible CVM outcomes is familiarity of the respondents with  
225 the proposed product (Richard T. Carson et al., 2001), hence why local communities on infested



226 shores were targeted. In the region, 80% of the household heads are men and even when the  
227 man is no household head (HH), he is still primarily responsible for economic decisions within  
228 the household. In consequence of the above reasons, only men were interviewed for the CVM.  
229 Furthermore, women and children were interviewed to provide additional contextual  
230 information on the water hyacinth outbreak, seasonal influences and the impacts of water  
231 hyacinth on their daily lives.

#### 232 *2.4 Questionnaire design*

233 Respondents were first asked some general, introductory questions about their use and  
234 appreciation of Lake Tana. Here, respondents have the opportunity to value (1-5 scale) the  
235 importance of Lake Tana for different activities, for example: farming, fishing, sand mining,  
236 religious purposes, etc. The main purpose of these questions is to comfort respondents.  
237 Subsequently, enumerators provided the respondent with an information card (see Appendix  
238 B). This information card states the current water hyacinth problem and the threats posed by  
239 the issue to the ecosystem (Bräuer, 2003). The information card was read by the enumerator in  
240 case of illiteracy. Following the problem statement, the hypothetical market called “The Lake  
241 Tana Protection Program” was explained. The Lake Tana Protection Program was defined as  
242 an hypothetical removal campaign irrespective of any eradication method  
243 (biological/physical/chemical). The objective of the study is to obtain the aggregated benefits  
244 of water hyacinth control/eradication. Since eradication of water hyacinth has been attempted  
245 repeatedly, it is assumed that potential distrust towards certain eradication methods could  
246 influence the willingness to contribute statement and rather reflect willingness to contribute for  
247 the method than for the eradication itself. After this, the willingness to contribute labor  
248 (WTCL) or willingness to pay (WTP) elicitation questions were posed. The end of the survey  
249 consists of socio-demographic information questions (age, farming experience, income, etc.).  
250 In drafting the survey and processing the results, guidelines for value elicitation surveys as  
251 formulated by the NOAA panel were taken into account (Arrow et al., 1993).

252 Three different formats of the survey were designed, dependent of the mean of  
253 contributing to “the Lake Tana Protection Program”. Every respondent got assigned one survey  
254 format out of these three randomly: cash format, labour format or mixed format. In the ‘cash  
255 money’ format, respondents’ willingness to pay in terms of a yearly amount of money in  
256 Ethiopian Birr (ETB) was asked. In the ‘labor’ format, respondents expressed their willingness

257 to contribute labor to The Program in man-days<sup>1</sup> yearly. In the ‘mixed’ format, respondents  
258 were given the possibility to contribute in cash, in labor, in a mix of both or to not contribute at  
259 all. Dependent on the answer respondents in the mixed survey provided to this contribution  
260 question, a set of contingent valuation questions were asked. Respondents were assigned one  
261 of the above three (cash, labor or mixed) formats randomly. The addition of contribution in  
262 terms of labor, contrary to standard contingent valuation studies has several reasons. First, it  
263 was hypothesized that households are not indifferent to contributing in money and labor.  
264 Previous studies introducing both cash and labor contributions in developing countries, found  
265 the cash constraint and low valuation of time as main drivers (Kamuanga et al., 2001; Swallow  
266 & Woudyalew, 1994; Tilahun et al., 2015). Moreover, in rural Ethiopia, labor markets are very  
267 restricted, implying the limited ability of job mobility (Swallow & Woudyalew, 1994; Tilahun  
268 et al., 2015). A low willingness to pay does not necessarily imply reluctance towards the  
269 Program. Household incomes are often inadequate to meet basic needs, a sole willingness to  
270 pay question may not fully reflect the value of the Lake Tana Protection Program. Secondly,  
271 by offering the option, the strength of this assumed preference towards labor contribution can  
272 be measured. Other studies have opted for WTCL, without surveying the WTP. Often, these  
273 studies use the wage rate of time to convert these values into monetary outcomes. The authors  
274 believe that – given the low valuation of time and labor market restrictions – such conversions  
275 may add bias.

276 Two scenarios for the hypothetical market were developed: a status quo scenario and an  
277 improvement scenario. In the status quo scenario, the Lake Tana Protection Program would  
278 keep the level of water hyacinth infestation constant at the current level. In the improvement  
279 scenario, the Lake Tana Protection Program is presented to entail the complete removal of all  
280 water hyacinth. The latest scenario is merely hypothetical since the complete removal of an  
281 infestation is often extremely challenging. Respondents were clearly informed about the form  
282 and frequency of the contribution (Richard T Carson & Mitchell, 1989).

283 Polychotomous questions allow the interviewee to receive additional information, while  
284 not deviate from the Referendum Format preferred by the NOAA. The fact that it is superfluous  
285 to ask prompting questions, saves the enumerator effort and consequently time (Cameron &  
286 Huppert, 1989). In addition, respondents may be uncertain to explicit a single point of personal  
287 value, but rather have ranges in mind (Cameron & Quiggin, 1994), using intervals can account  
288 for this issue. However, the formulation of predefined (as a product of the pilot surveys)

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<sup>1</sup> Man-days are defined as 8 hours of work by an adult man.

289 intervals may cause anchoring bias. The size and values of the intervals formulated in the actual  
 290 survey were the result of the pretests. The result of offering ranges to choose from is similar to  
 291 a payment card approach and its processing (Welsh & Poe, 1998).

292 If a respondent of the mixed survey stated to be willing to contribute a combination of  
 293 cash and labor, an open-ended question was asked to express their maximum combination of  
 294 cash and labor. Open-ended questions are often less favored due to the possible occurrence of  
 295 non-responses and protest zeros (Richard T Carson & Mitchell, 1989). Hence why enumerators  
 296 were informed to clearly explain the purpose and in case of zero vote ask for the underlying  
 297 reason. After the elicitation of WTP/WTCL in the status quo scenario, respondents proceeded  
 298 to an identical question on the WTP/WTCL in the improvement scenario. The full survey can  
 299 be found in Appendix A.

### 300 *2.5 Econometric specification*

301 The data obtained by the polychotomous question are interval censored. The objective is  
 302 to formulate a mean WTP/WTCL with confidence intervals. According to Cameron and  
 303 Huppert (1989), regression models with an interval-censored dependent variable are preferably  
 304 estimated with an efficient maximum likelihood (ML) function, called ‘interval regression’.  
 305 This is especially relevant when intervals are coarse, which is the case for this study. Another  
 306 attribute determining the choice of the estimation technique is the amount of zero responses. A  
 307 tobit regression is preferred when the WTP data contain a high amount of zero bids (O’Garra  
 308 & Mourato, 2007) and with open-ended data. This was not the case in this study which had only  
 309 3,5% zero bids. The interval regression for interval and right/left-censored data was utilized in  
 310 a previous study by Cawley (2008), using the *intreg* command in STATA 10. For this study, a  
 311 more recent version of STATA (15) was used.

312 Table 1  
 313 Definition of regressors utilized in interval regression

Variables		Description	Mean (SD)
Age	Discrete	Continuous variable in years	41.6 (13.4)
Location	Categorical	1 if respondent lives in Achera Mariam, 2 if respondent lives in Lemba Arbayitu and 3 if respondent lives in Tana Mitsili	
Farming experience	Ordinal	1 if respondent has 0-5 years of farming experience, 2 if respondent has 6-10 years of farming experience, 3 if respondent has 11-20 years of farming experience and 4 if respondent has more than 20 years of farming experience	3.0 (1.0)

Education	Ordinal	1 if respondent can't read or write, 2 if respondent has no formal education, 3 if respondent has attended formal education	1.6 (0.7)
Local conference attendance	Binary	1 if respondent attended a water hyacinth conference, 0 if not	0.7 (0.4)
(Farming) Income	Ordinal	1 if income is smaller than 10,000 ETB yearly, 2 if income is between 10,000-25,000 ETB yearly, 3 if income is between 25,001-50,000 yearly and 4 if income is over 50,000 ETB yearly	2.5 (0.8)

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315 For all respondents, a water hyacinth infested Lake Tana yields some utility given by  
316  $U(WH_1, S, I, \varepsilon_1)$ . With  $WH$  a vector for the evolution of infestation ( $WH_0$  equals no infestation,  
317  $WH_1$  is the current state,  $WH_2$  would imply that the lake surface is completely covered),  $S$  is  
318 the vector of socio-economic characteristics,  $I$  represents income and  $\varepsilon$  denotes randomness in  
319 the data. Their utility of a non-infested Lake Tana is given by  $U(WH_0, S, I, \varepsilon_0)$ . The level of  
320 infestation reduces from  $WH_1$  to  $WH_0$ . An individual has a willingness to pay  $Y^*$  for this  
321 environmental improvement such that (Ian J Bateman & Willis, 2001):

$$322 \quad U(WH_1, S, I, \varepsilon_1) = U(WH_0, S, [I-Y^*], \varepsilon_0)$$

323 This expression is similar for WTCL if the amount of leisure would be included.  $L$  denotes total  
324 leisure,  $Y^*$  now denotes a bid in labor terms:

$$325 \quad U(WH_1, P, X, L, \varepsilon_1) = U(WH_0, P, X, [L-Y^*], \varepsilon_0).$$

326 Let  $x_i$  be the vector that includes the income/leisure stack and the socio-economic  
327 characteristics.  $\varepsilon_i$  is the random term of the individuals' WTP/WTCL, which is assumed to be  
328 normally distributed with zero mean and constant error.  $\beta$  is the vector of interval regression  
329 coefficients, with  $i = 1, \dots, n$  individuals in the questionnaire sample. Cameron and Huppert  
330 (1989) have defined a maximum-likelihood *interval regression*. Such that:

$$331 \quad Y^* = x_i\beta + \varepsilon_i$$

332 In the interval-censored data, the true WTP/WTCL  $Y^*$  of a respondent is not expressed as a  
333 point value, but lies between an upper threshold ( $t_k$ ) and lower threshold ( $t_{k-1}$ ) in each interval.  
334 Expressed as follows by Whitehead et al. (1995):

$$335 \quad \Pr[Y_i^* \subseteq (t_k, t_{k-1})] = \Pr \left[ \frac{(t_k - x_i\beta)}{\sigma} < z_i < \frac{(t_{k-1} - x_i\beta)}{\sigma} \right]$$

$$336 \quad \text{With } z_i = \frac{x_i\beta}{\sigma}.$$

337 Similarly, Cawley (2008) describes the single likelihood contribution of an individual  $i$  with  
338 willingness to contribute in the interval  $[Y_{i1}, Y_{i2}]$  as:

$$339 \quad \Pr (Y_{i1} < x_i\beta + \varepsilon_i < Y_{i2})$$

340 In the survey, people indicating to be willing to pay more /contribute more labor than the  
341 maximum-stated amount are asked an open-ended follow-up question to reveal the maximum  
342 willingness. In the interval regression, these answers are treated as right-censored in the absence  
343 of an upper bound. This likelihood contribution is described as  $\Pr (Y_{i1} < x_i\beta + \varepsilon_i)$ . In the past,  
344 the maximum likelihood functions that were used are all estimated with the *intreg* commando  
345 in STATA 15. The individual mean WTP/WTCL is estimated using the regression's results.  
346 Robust standard errors were used to calculate the 95% CI on the mean WTP/WTCL. The  
347 baseline model includes all variables examined in the questionnaire. As a mean of control,  
348 ordered logistic regressions were run for every model to verify the interval regression's  
349 conclusions. For the mixed survey regional differences in responses on the contribution  
350 question were examined through a Fisher's Exact test. This is commonly used to examine the  
351 relation between two categorical variables when one or more cells have an expected values  $\leq 5$   
352 or for small sample sizes in general (McDonald, 2009).

353

### 354 **3. Results**

#### 355 *3.1 Descriptive analysis*

356 A total of 240 households correctly completed the contingent valuation questionnaire,  
357 of which 3.75% stated zero WTP/WTCL. Table 1 provides an overview of the participants'  
358 demographics. The exploratory questions in the beginning of the survey revealed that  
359 respondents value Lake Tana most importantly for the services it provides supporting crop  
360 production (90%) and livestock farming (71%). Other services stated as important are  
361 recreational (47%), religion (32%) and fishing (30%). In additional qualitative interviews,  
362 respondents emphasized the burden that water hyacinth is in the daily life. Especially in Lemba  
363 Arbayitu, Gondar Zuria people expressed feelings of hopelessness towards the water hyacinth  
364 outbreak and possible eradication of water hyacinth.

365 Table 2  
366 Descriptive statistics of survey respondents

Variable	n	%	Variable	n	%
<b>Location</b>			<b>Main type of agricultural activity</b>		
Dembiya	58	24	Crop production	22	9
Gondar Zuria	123	51	Livestock	3	1
Dera	59	25	Mixed	215	90
<b>Age group</b>			<b>Estimated annual farm income</b>		
18-24	10	4	< 10,000 ETB	36	15
25-34	69	29	10,000-25,000 ETB	89	37
35-44	73	30	25,001-50,000 ETB	79	33
45-54	40	17	> 50,000 ETB	36	15
55-64	27	11	<b>Estimated annual off-farm income</b>		
65+	21	9	< 5,000 ETB	227	95
<b>Household head is owner of plot(s)</b>	232	97	5,000-10,000 ETB	10	4
<b>Farming Experience of household head</b>			10,001-20,000 ETB	3	1
0-5 years	20	8	> 20,000 ETB	0	0
6-10 years	55	23	<b>Highest education of household head</b>		
11-20 years	59	25	Can't read and write	138	58
> 20 years	106	44	No formal education	58	24
			Grades attended	44	18
<b>Has participated in local conferences about water hyacinth</b>	165	69			

367  
368 The regressors utilized for the interval regression are outlined in table 2. Since the 'HH  
369 is owner of the plot(s)' and 'Main type of agricultural activity' questions both had one very  
370 dominant answer, the additional information to the model is dismissible. In the 'Estimated  
371 annual off-farm income' the dominance of the first category is due to the high percentage (95%)  
372 of zero responses. In the study-area there is little alternative for income but farming, for the  
373 modelling only the farm income is counted. The independent demographic variables included  
374 in the model are respondents' age, location, farming experience, education, water hyacinth  
375 conference attendance and (farming) income. Age and income were treated continuously, where  
376 all other predictors were included as factor variables.

377 The baseline model was defined as the full model including all regressors. Subsequently,  
378 the regressions were ran without the indicator 'Education', described by the transformation  
379 from model output (1) to model output (2). Careful analysis of the regressors revealed pairwise  
380 correlation between 'Education' and other predictors. If any further reduction improved the log

381 likelihood, a further simplification was accepted, depicted by (2) to (3). The coefficient  
 382 estimates are listed, with robust standard errors in parentheses and statistical significance is  
 383 illustrated with asterisks.

### 384 3.2 Willingness to pay

385 In the status quo scenario respondents expressed their WTP for the water hyacinth infestation  
 386 remaining at the same level as it is today.

387 Table 1  
 388 WTP interval regression results for the status quo scenario

Variable	(1)	(2)	(3)
Age	-9.5 (5.1)*	-10.2 (4.7)**	-10.3 (4.8)**
Gondar Zuria	-133.1 (88.0)	-122.1 (83.1)	-124.2 (57.2)**
Dera	-5.1 (92.2)	3.1 (89.8)	
Farming Experience 6-10 years	26.1 (117.4)	24.5 (122.1)	24.08 (121.8)
Farming Experience 11-20 years	161.8 (128.1)	168.7 (128.8)	168.6(129.1)
Farming Experience > 20 years	174.5 (129.6)	176.6 (130.1)	176.6 (130.0)
No formal education	-35.3 (79.5)		
Grades attended	22.5 (82.4)		
Local conference	89.9 (69.0)	97.6 (65.9)	98.0 (65.0)
Income	84.9 (36.2)**	79.2 (34.2)**	79.4 (34.6)**
Constant	247.4 (136.7)*	251.0 (140.3)*	252.5 (133.4)**

389 *Note: \* p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01*

390 Willingness to pay for the program appears to be positively influenced by the household's  
 391 income. For the baseline model, a household stating to be in a higher income category is  
 392 estimated to be willing to pay 84.9 ETB more than a household in the lower income category.  
 393 The sample has an interval regression estimated mean willingness to pay of 440.9 ETB yearly  
 394 ( $\approx$  €13.5) for the status quo scenario, with 95% CI [376.8; 505.0] and robust standard error of  
 395 32.7. In regression model (2), the location predictors imply an effect relative to the base  
 396 (Dembiya). In order to observe the absolute effect of Gondar Zuria, the predictor 'Dera' was  
 397 left out in advancing to regression model (3).

398 For the improvement scenario, implying water hyacinth eradication, interval regression  
 399 coefficient estimates are shown in table 4. The Lake Tana Protection Program has a mean WTP  
 400 value of 764.4 ETB yearly ( $\approx$  €23.4), with 95% CI [647.2;881.6] and robust standard error of  
 401 59.8.

402

403 Table 2  
404 WTP interval regression results for the improvement scenario

Variable	(1)	(2)	(3)
Age	-5.0 (10.3)	-4.6 (9.9)	-4.6 (9.9)
Gondar Zuria	-283.3 (157.6)*	-290.4 (144.1)**	-300.1 (106.9)***
Dera	19.4 (163.0)	13.8 (152.0)	
Farming Experience 6-10 years	-144.7 (162.5)	-147.8 (159.0)	-149.7 (156.2)
Farming Experience 11-20 years	105.8 (203.0)	98.9 (190.7)	98.3 (190.3)
Farming Experience > 20 years	63.9 (213.8)	60.6 (208.2)	60.4 (207.5)
No formal education	21.5 (146.1)		
Grades attended	-1.1 (160.9)		
Local conference	166.5 (129.9)	164.6 (122.5)	166.2 (120.5)
Income	182.5 (75.6)**	185.3 (70.6)***	186.3(71.1)***
Constant	388.4 (205.4)*	391.8 (193.0)**	398.6 (178.0)**

405 *Note: \* p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01*

406 Recurrently, the statistical significance is most prominent for the variables ‘Income’ and  
407 ‘Gondar Zuria’ (Lemba Arbayitu, Gondar Zuria). For the household’s income, the relation to  
408 willingness to pay is intuitive and the consistent direction of the estimating coefficients  
409 confirms the intuition. For the location Lemba Arbayitu, underlying factors could explain their  
410 reduced WTP relative to the other kebeles in the study-area. Expectedly local households are  
411 willing to pay significantly more for the improvement scenario compared to the status quo  
412 scenario.

### 413 3.3 Willingness to contribute labor

414 Respondents who were interviewed through the WTCL survey stated their willingness to  
415 contribute labor in terms of personal man-day labor contribution.

416 Table 3  
417 WTCL interval regression results for status quo scenario

Variable	(1)	(2)	(3)
Age	-0.3 (0.3)	-0.4 (0.3)	-0.4 (0.3)
Gondar Zuria	2.6 (4.0)	3.3 (3.6)	
Dera	8.6 (3.4)***	8.9 (3.4)***	6.8 (3.0)**
Farming Experience 6-10 years	6.4 (6.7)	6.0 (6.6)	5.4 (6.3)
Farming Experience 11-20 years	12.4 (6.9)*	12.3 (7.0)*	11.7 (6.7)**
Farming Experience > 20 years	16.1 (6.7)**	16.6 (6.8)**	16.7 (6.8)***
No formal education	-0.8 (4.1)		
Grades attended	3.6 (6.9)		
Local conference	8.1 (3.3)**	8.0 (3.3)**	7.8 (3.3)***
Income	-2.5 (1.9)	-2.2 (1.8)	-1.8 (2.0)
Constant	23.2 (10.2)**	22.9 (10.2)**	27.5 (6.8)***

418 *Note: \* p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01*



419 The estimations of the interval regression based on the status quo scenario data can be  
 420 found in table 5. Respondents living in Tana Mitsili, Dera were willing to contribute  
 421 significantly more man-days of labor compared to the other kebeles. Moreover, the attendance  
 422 of water hyacinth local conferences (positively) influences the WTCL significantly. Model  
 423 reduction from (1) to (2) entails the removal of the variables Education due to high correlation  
 424 with other regressors.

425 The mean WTCL of the sample is 32.6 man-days yearly with 95% CI [29.1; 36.1] and  
 426 robust standard deviation equal to 1.8. The positive relation between the Farming Experience  
 427 and the eventual WTCL is logical: respondents with more farming experience are better aware  
 428 of the influence on the farming activity due to water hyacinths.

429 Table 4  
 430 WTCL interval regression results for improvement scenario

Variable	(1)	(2)	(3)
Age	-0.2 (0.5)	-0.3 (0.4)	-0.3 (0.4)
Gondar Zuria	-3.5 (6.4)		
Dera	7.1 (6.6)	7.7 (6.8)	9.2 (5.7)*
Farming Experience 6-10 years	-0.4 (10.5)	-1.1 (10.6)	-0.7 (10.3)
Farming Experience 11-20 years	11.8 (10.8)	11.6 (11.0)	12.3 (7.2)
Farming Experience > 20 years	12.4 (10.6)	13.3 (10.7)	14.1 (9.0)
No formal education	-1.9 (6.9)		
Grades attended	6.0 (10.1)		
Local conference	14.2 (5.1)***	14.1 (5.3)***	14.2 (5.4)***
Income	0.7 (2.9)	1.3 (2.7)	1.1 (2.8)
Constant	33.0 (14.9)**	31.2 (13.7)**	33.1 (6.8)***

431 *Note: \* p < 0.10, \*\* p < 0.05, \*\*\* p < 0.01*

432 For the improvement scenario, the same regressors have a significant impact on the model  
 433 estimation. The positive coefficients confirm the results of the interval regression in the status  
 434 quo scenario. The mean willingness to contribute labor is estimated at 51.2 man-days yearly,  
 435 with 95% CI [45.4; 56.9] and a robust standard deviation of 2.9.

### 436 3.4 Mixed survey format

437 In the mixed survey, respondents were first provided with the option to contribute to the  
 438 Lake Tana Protection Program in the way they prefer: cash, labor, combination of both, not  
 439 contribute at all. The results of these preferences are shown in table 7.

440

441 Table 5  
442 Choice of contribution

	Contribute	Frequency (%)
443	Cash	25 (24)
	Labor	58 (56)
444	Mixed	18 (17)
445	Not contribute	3 (3)

446 a. Mixed survey – cash

447 For a limited sample size (n=25) only very strong relationships are demonstrable.  
448 However, running the interval regressions on the sample doesn't give enough evidence to reject  
449 the null hypothesis of all zero value regressors. Mean willingness to pay for the status quo  
450 scenario of the sample is 459.8 ETB yearly, with 95% CI [355.1; 564.4]. For the improvement  
451 scenario the mean WTP is estimated at 891.9 ETB per year, with 95% CI [651.1; 1132.8]. The  
452 wider intervals result from the small sample size, otherwise these results are similar to the  
453 regular willingness to pay format.

454 b. Mixed survey – labor

455 The results from the mixed – labor contribution show that the average willingness to  
456 contribute labor is at 28.9 man-days in the status quo scenario, 95% CI of [25.8; 31.9]. In the  
457 improvement scenario people are willing to contribute 46.7 man-days on average, with a 95%  
458 CI of [41.9; 51.5].

459 c. Mixed survey – combination

460 Respondents who stated to be willing to contribute to the Lake Tana Protection Program  
461 through a combination of cash and labor were given an open-question to compose their  
462 willingness to contribute bundle. The results are shown in Table 8. The combination in terms  
463 of cash was composed from the cash to labor (and vice versa) ratio that resulted from the WTP  
464 and WTCL surveys.

465 Table 6  
466 Summary of results from the mixed survey

	Status quo Mean	Improvement Mean
<b>Cash</b>	459.8 ETB	891.9 ETB
<b>Labor</b>	28.9 MD	46.7 MD
<b>Mixed</b> Combination	391.7 ETB + 48.1 MD	855.6 ETB + 65.6 MD
Combination in terms of cash*	1042.2 ETB	1835.0 ETB
Combination in terms of labor**	77.1 MD	122.9 MD

467 *Note: \* Status quo: 1 MD = 440.9/32.6 ETB, Improvement: 1 MD = 764.4/51.2 ETB. \*\* Status quo: 1 ETB = 32.6/440.9 MD,*  
468 *Improvement: 1 ETB = 51.2/764.4 MD.*

#### 469 **4. Discussion**

470 The results from the cash and labor surveys indicate that the WTP and WTCL respectively  
471 vary in logical ways. For one, the cash survey indicated a higher WTP when a household has  
472 more income, both in the status quo and the improvement scenario. In the labor survey, the  
473 attendance of water hyacinth conferences positively influences the WTCL, which can be  
474 attributed to the deeper knowledge and awareness of the water hyacinth problem in Lake Tana.  
475 All in all, this supports the face validity of the contingent valuation survey. It is noticeable that  
476 the age is negatively correlated with the willingness to pay and – to lesser extent - willingness  
477 to contribute labor. This result matches with the general intuition that was obtained by  
478 qualitative interviews in the study-area. Since the youth is more involved in the water hyacinth  
479 harvesting programs and is often more connected to the (social) media, it seems that they  
480 developed very serious concerns about the future of Lake Tana and are more committed to  
481 restoring the ecosystem balance. The main conclusion from the mixed survey can be drawn  
482 from the preference question. Respondents were significantly more inclined to contribute in  
483 terms of labor than the other options. With the mixed survey it was found that respondents  
484 deliberately opting to contribute in terms of cash money have a slightly higher mean WTP.  
485 However, the small sample doesn't provide reliable evidence.

486 Including a mixed survey format provided useful insights. First of all, it allowed to verify  
487 the results in a realistic market situation where customers have the option to contribute in any  
488 way possible. All beneficiaries of a water hyacinth-free Lake Tana have the option to contribute  
489 to the current problem in terms of labor, to donate money for research/machines, to combine  
490 these previous options or not to contribute in any manner. Secondly, the total value of the  
491 bundles that were stated by people choosing for the combination option were much higher than  
492 the values obtained in the regular cash and labor formats. Different factors may underlie these  
493 results; Firstly, respondents may have misunderstood the combination question and thus  
494 consequently overstated their willingness to contribute. Although the enumerators were trained,  
495 indicated to fully understand the survey design and were regularly observed while conducting  
496 the survey, enumerator bias remains a limitation in the contingent valuation technique.  
497 Especially when conducting CV studies in developing countries (like Ethiopia) it is advised to  
498 elaborate on the issues and advices reported by Whittington (1998, 2002) (eg. Test whether the  
499 assumptions that the researcher made are correct, train the enumerators, create a clear

500 hypothetical scenario, *etc.*). However, it remains that open-ended elicitation questions are more  
501 sensitive to enumerator bias than close-ended questions. Another potential explanation for the  
502 results of the mixed contribution can be related with anchoring bias. In the cash and labor  
503 contribution surveys, the dichotomous question preceding the willingness to contribute question  
504 in terms of polychotomous intervals may have caused this anchoring effect. By presenting some  
505 monetary value or some amount of man-days to contribute, respondents may have been biased  
506 to adjust their true willingness to contribute in the direction of this value. In this study, this  
507 would imply that the results obtained in the open-ended mixed contribution format are a closer  
508 representation of the true value of water hyacinth eradication around Lake Tana with the studied  
509 sample. Alternatively, open-ended questions have given evidence to significant overstatement  
510 of the actual willingness to pay (Green et al., 1998). Open-ended questions result in increased  
511 uncertainty and subsequently in biased statements. Moreover, Ian J. Bateman et al. (1995) found  
512 that positive interests in the conservation of a good may induce strategic overstatement if the  
513 respondent believes that this would influence the provision of such a good. While conducting  
514 this study, qualitative interviews confirmed that the people in the study-area strongly rely on  
515 the natural resources brought forth by Lake Tana and are severely (negatively) affected in their  
516 livelihood by the ecosystem disservices. During qualitative interviews the hopelessness towards  
517 the infestation was translated into answers such as: “We will do everything to get rid of *Enboch*  
518 (‘water hyacinth’ in Amharic)”. It is this attitude that may cause this (strategic) overstatement.  
519 Additionally, the unrealistic prospect of completely eradicating water hyacinth on Lake Tana  
520 may cause a willingness to contribute that is influenced by a short term view. Respondents may  
521 be convinced that profound sacrifices of time/money now will solve the problem within a short  
522 time period, leading to unrealistically high contributions if the results would be expanded to  
523 medium to long term (> 5 years). In this light we can compare our findings with the one-time  
524 payment for eradication that was studied with urban households living in Bahir Dar city by  
525 Tesfa (2019). The latter found a mean WTP of 1,011 ETB for water hyacinth control, which is  
526 defined as ‘cleaning of water hyacinth from the Lake’. This corresponds to the improvement  
527 scenario in this study. Correspondingly, this value would imply only 1 to 2 yearly monetary  
528 payments, what could confirm the intuition of unrealistically high contributions. However,  
529 because of the difference in target groups (urban dwellers vs. direct agricultural stakeholders),  
530 this assumption cannot be confirmed.

531         Few other factors must be taken into consideration in interpreting the results of this study.  
532 First of all, one must understand the cultural context of making contributions to the state. In  
533 Ethiopia, the practice of contributing free labor to the state is a longstanding tradition. As

534 opposed to other regions, community work is generally widely accepted within the study area.  
535 Secondly, the skewed male sample introduces a gender bias. Considering the fact that male  
536 household members are obliged to contribute to the ongoing community actions, their  
537 willingness to contribute is expected to be higher than those of female household members.  
538 However, in opting for contribution as a household for the payment scenario's, which is  
539 typically a male's decision in the study area, it was intended to reduce the influence of this  
540 sampling bias. Thirdly, the influence of the relatively small sample must be highlighted. This  
541 results in higher variance and wider confidence intervals for WTP and WTCL.

542 With regard to the cash constraint that was assumed, mixed evidence was observed.  
543 Firstly, from the choice of contribution in the mixed survey, it could be interpreted that there is  
544 a cash constraint, which impedes on the actual value of the ecosystem to the farmers. On the  
545 other hand, the ratio that was observed as a result of the cash format and the labor format can  
546 be interpreted as indicating otherwise. For the status quo, it was found that 1 man-day is worth  
547 13.52 ETB and for the improvement scenario this ratio was 14.93 ETB/MD. Similar to Tilahun  
548 M. et al. (2015), the convergence validity can be tested through using the public employers'  
549 minimum wage to make the conversion. Public sector employees are the largest group of wage  
550 earners in the country, according to the 2017 Country Reports on Human Rights earning a  
551 minimum wage of 615 ETB monthly (U.S. Department of State, 2018). When taking into  
552 account the fact that one month entails 20 to 23 workable days on average, the value of one  
553 working day lies within the range of 26.7-30.8 ETB. Thus, the ratio's that were obtained  
554 through the study cannot be considered as reflecting the value of public employers' minimum  
555 wages, what could imply a significantly skewed valuation of time. However, when considering  
556 the poverty income level of 315 ETB (U.S. Department of State, 2018), a ratio of 13.7-15.8  
557 ETB is obtained. Realistically, the latter is a more accurate estimation of the situation in  
558 agricultural communities on Lake Tana shores. It can be concluded that simply assuming that  
559 a cash constraint will influence results in developing countries, may not be realistic. Especially  
560 when the livelihood is directly and drastically affected by the EDS, measured WTP may reflect  
561 pragmatic value.

562 Having evidence of the validity of the survey responses, one can extrapolate these  
563 findings on the broader population. The study-area consists of three kebeles in three different  
564 woredas. Therefore, the results are extrapolated on all households in the infested kebeles of  
565 these three woredas. In Dembiya seven kebeles are infested (9,834 households), in Gondar  
566 Zuria five kebeles are infested (11,129 households) and in Dera one kebele is infested (2,051  
567 households). In total 23,014 households are thus affected in these three woredas. Extrapolating

568 the values of the WTP and WTCL, taking into account the percentage of zero responses, results  
 569 in aggregative yearly contributions as shown in table 9.

570

571 Table 7  
 572 Aggregated yearly willingness to contribute

	Status quo	Improvement
Willingness to pay (Ethiopian Birr)	9,766,365 (or € 317,678*)	16,932,206 (or € 550,767*)
Willingness to contribute labor (man-days)	750,256	1,178,317

573 \* Based on conversion rate in may 2018

574

575 The numbers depicted in table 9 give an indication of the willingness to contribute of  
 576 some of the direct users of the ecosystem services provided by Lake Tana. It is important to  
 577 stress that this is not the overall total benefit for a Lake Tana without water hyacinths. Only  
 578 three out of five infested woredas were considered in the extrapolation. Moreover, contingent  
 579 valuation studies often describe the influence of distance to the environmental good on the  
 580 willingness to contribute, implying additional (but diminishing) willingness further away from  
 581 the lake (Schaafsma et al., 2012; Yao et al., 2014). With 2 to 3 million people living in the Lake  
 582 Tana Biosphere Reserve and the importance of the ecosystem services the Biosphere provides,  
 583 many more stakeholders contribute to the total benefits of eradicating the water hyacinths. It is  
 584 clear that the communal economic weight of the infestation is at a level where action is to be  
 585 undertaken.

586 In Africa, biological control is a widely applied solution to infestations . Among other  
 587 water bodies in Eastern Africa, the Lake Victoria basin suffered a severe infestation from 1989  
 588 to 2001, the cost of which was estimated in the order of billions of dollars (Mailu, 2001).  
 589 Eventually biological control was responsible for the decline of this infestation (Albright et al.,  
 590 2004). Biological control has been successful in other African countries, case studies of Lake  
 591 Chivero (Zimbabwe), White Nile (Sudan), Southern Benin confirmed its potential (De Groote  
 592 et al., 2003; Irving & Beshir, 1982). In Benin, the biological water hyacinth control was  
 593 estimated to have outweighed the costs with a 124:1 ratio (De Groote et al., 2003), in South  
 594 Africa the benefit to cost ratio was calculated at 4.2:1 (Law, 2008).

595 From the ES-EDS perspective, the invasion process of alien plants is to be managed in  
 596 four sequential strategies: identification, protection, mitigation and adaptation (Vaz et al.,  
 597 2017). For Lake Tana, the severity of the problem observed and the results of this socio-  
 598 economic study leaves no strategic solution except for the last in line: *adaptation* . The water

599 hyacinth is in Lake Tana to stay. Local communities are to adjust to the newly defined relation  
600 between the ES and EDS. The socio-economic impact on local smallholders that was assessed  
601 in this study not only justifies potential management expenditures on the control of the weed,  
602 but can also justify infrastructure investments to benefit from the occurrence of water hyacinth.  
603 Cases in Bénin and Lake Victoria (Güereña et al., 2015; Ogutu-Ohwayo et al., 2016; Roux,  
604 2019), where water hyacinth was initially experienced as ‘an ecological disaster’, now give  
605 evidence of creating added value from water hyacinth. Water hyacinth - in Bénin promoted to  
606 ‘green gold’- can be utilized to generate biogas and fertilizers. With regard to sustainable  
607 ecosystem and resource management, providing the necessary equipment for processing water  
608 hyacinth into a productive resource in newly defined business processes may be the strategically  
609 opportune decision.

## 610 **5. Conclusion**

611 We found that the assumption of low valuation of time might not stand when the subject  
612 of valuation directly influences the livelihood. Moreover, local conferences and awareness of  
613 the water hyacinth invasion has a positive influence on the willingness to contribute. Which  
614 implies that governments could promote communal harvesting of water hyacinth by ensuring  
615 the provision of qualitative local conferences, rather than punishing absence with fines as it is  
616 today. The water hyacinth infestation - which originates from broader issues with water  
617 management - and its consequences on the economic value the Lake Tana ecosystem, require  
618 an integrated approach. Integrated in the sense of potential solutions: controlling the weed and  
619 providing sustainable and economically desirable destinations. Integrated in the sense of the  
620 acting parties: the people of Lake Tana, private initiatives (e.g. The Global Coalition for Lake  
621 Tana Restoration), research bodies, but also active governmental intervention. Integrated in the  
622 sense of treating not only the consequences, but also addressing the catalysts of the aggressive  
623 outbreak, pleading for an integrated water and waste management policy. Lastly, integrated in  
624 the sense of multidisciplinary research on the water hyacinth issue in the Lake Tana Biosphere  
625 reserve. With ecosystem valuation being scarce, and valuation exercises often limited to the  
626 valuation of just one or a few ecosystem services, the stakeholder perspective presented in this  
627 research provides noticeable advantages. Instead of time and expertise intensive approaches of  
628 identifying ecosystem services before pinning separate value to each of them, the stakeholder-  
629 centered perspective offers the opportunity to assess an ecosystem’s value, based on the sum of  
630 benefits every stakeholder perceives. In this study, only the impacts on farmholders were  
631 researched, studies on the (potential) impact on other actors is advised for a comprehensive

632 assessment of the total benefits the water hyacinth eradication/control entails. Moreover, using  
633 different valuation methods (choice experiments, travel cost methods, *etc.*) would enhance a  
634 complementary and comprehensive overview. Further research is required on the economic  
635 damage of the water hyacinth infestation on the ecosystem services provided by Lake Tana and  
636 - by extension - the overall economic value of the Lake, for justifiable and sustainable  
637 ecosystem management. After all, as Ian J Bateman and Willis (2001) stated: “What we need  
638 to assess and conserve is the structural and functional value of ‘healthy’ evolving ecosystems.”  
639

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## 823 7. Appendix

### 824 A. Full survey

825

826

ITEM ONE: MIXED WTP

827

QUEST ID\_\_\_\_\_

828

829 This survey is designed to examine the trade-offs between agriculture and the ecosystem services  
830 provided by Lake Tana. More specifically the relation of the E. Crassipes or water hyacinths to the  
831 ecosystem services, and its influence on agriculture. Your responses are completely confidential.

832 **The importance to you of the Lake Tana basin (Q12): explain how Lake Tana is important to your**  
833 **livelihood**

	<b>PERSONAL REASONS FOR VALUEING LAKE TANA</b>	NOT IMPORTANT 1	SLIGHTLY IMPORTANT 2	MODERATE LY IMPORTANT 3	IMPORTAN T 4	VERY IMPORTANT 5
<b>12A</b>	Crop production (irrigation)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12B</b>	livestock production	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12C</b>	Recreation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12D</b>	Religion	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12E</b>	Fishing	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12F</b>	Transportation	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12G</b>	Tourism(offarm center)	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12H</b>	Mining	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>
<b>12I</b>	Other:	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>	<input type="radio"/>

834 13) Have you ever – during one of the activities above – experienced problems due to the effects of the  
835 water hyacinth?

836 1) YES 2) NO

837 14) if yes, which one? ..... (Choose from 12A to 12i)

838 **INTERVIEWER –PLEASE REFER THE ATTACHED CARD**

839 **ASSUMING THAT YOU ARE SENSITIVE TO THE PROBLEMS WE EXPLAIN, PLEASE**  
840 **RESPOND TO THE FOLLOWING QUESTIONS;**

841 \_\_\_\_\_TRQ) In which way are you willing to contribute to counter water hyacinth infestation

842 1) Labor 2) Cash money 3) Mixed 4) Not willing to  
843 contribute

844 --->>>>> **If your choice is labor Go to Section A questions, if Cash money Go to Section B**  
845 **questions, If Mixed go to Section C, If not willing for any of them Go to Section D question.**

846 **SECTION A: LABOR WILLING**

847 STATUS QUO SCENARIO

848 *Given the above description let us assume you are willing to contribute labor to save Lake*  
849 *Tana.....*

850

851 15. Would you agree with the Lake Tana protection program if you would have to contribute  
852 20 **man-days** yearly in order to keep water hyacinth infestation constant at current levels rather than

853 having the infestation spreading and covering more surface of the Lake and Wetlands, thus keeping  
854 your farming productivity at the current level? (Choose one)

- 855 1) YES 2) NO

856 16. What is the maximum your household would contribute in **man-days** yearly in order to keep  
857 water hyacinth infestation constant at current levels rather than having the infestation spreading and  
858 covering more surface of the Lake and Wetlands, thus keeping your farming productivity at the current  
859 level?

- 860 1) Zero MD 2) 1-10MD 3) 11-20MD 4) 21-30MD 5) 31-40MD 6) >40MD

861 16B) If above 40 man-days, how many man-days you do you want to be involved

862

863 *Answer Question #17 only if you indicate to not contribute any, otherwise go to Question #18.*

864 17. What was the main reason to pay 0 days? (Check any one or more)

- 865 1) I don't encounter problems due to water hyacinths  
866 2) I don't feel responsible for this  
867 3) Keeping it at current levels is not enough  
868 4) I am on a limited budget and cannot contribute

869 5) Other: .....

870

871 IMPROVEMENT SCENARIO

872 **Assume that through biological or chemical control programs, it is possible to remove the water**  
873 **hyacinth infestation completely.**

874 18. Would you agree with the Lake Tana protection program if you would have to contribute 40  
875 **man-days** yearly in order to completely remove the water hyacinth infestation permanently, and  
876 improve the farming productivity accordingly?

877 1) YES 2) NO

878 19. What is the maximum your household would contribute in **man-days** yearly in order to  
879 completely remove the water hyacinth infestation permanently and improve the farming productivity  
880 accordingly?

881 1) Zero MD 2) Less than 20MD 3) 20-30MD 4) 31-40MD 5) 41-50MD 6)  
882 51-60MD 7) > 60MD

883 19B) If above 60 man-days, how many man-days do you want to be involved

884 *Answer Question #20 only if you said you would not contribute any days.*

885 20. What was the main reason to contribute 0 days? (Check only one)

- 886 1) I don't encounter problems due to water hyacinths  
887 2) I don't feel responsible for this  
888 3) I am on a limited budget and cannot contribute  
889 4) Other:

890 **SECTION B: CASH MONEY WILLING**

891 STATUS QUO SCENARIO

892 *Given the above description let us assume you are willing to contribute Cash money to save Lake*  
893 *Tana.....*

894

895 15. Would you agree with the Lake Tana protection program if it reduced your income **each**  
896 **year** by 500 ETB in order to keep water hyacinth infestation constant at current levels rather than having  
897 the infestation spreading and covering more surface of the Lake and Wetlands, thus keeping your  
898 farming productivity at the current level? (Check one)

899 1) YES 2) NO

900 16. What is the maximum your household would pay **each year** in order to keep water hyacinth  
901 infestation constant at current levels rather than having the infestation spreading and covering more  
902 surface of the Lake and Wetlands, thus keeping your farming productivity at the current level?

903 1) 0 ETB 2) 0-100 ETB 3) 101-300 ETB 4) 301-400 ETB 5) 401-500 ETB 6) 500-750  
904 ETB 7) >750 ETB

905 16B) If above 750 ETB, how much ETB do you want to contribute

906 *Answer Question #17 only if you indicate to pay nothing, otherwise go to Question #18.*

907 17. What was the main reason to pay 0 ETB? (Check one or more)

- 908 1) I don't encounter problems due to water hyacinths  
 909 2) I don't feel responsible for this  
 910 3) Keeping it at current levels is not enough  
 911 4) I am on a limited budget and cannot contribute  
 912 5) Other: .....

913 IMPROVEMENT SCENARIO

914 **Assume that through biological or chemical control programs, it is possible to remove the water**  
 915 **hyacinth infestation completely.**

916 18. Would you agree with the Lake Tana protection program if it reduced your income **each**  
 917 **year** by 1000 or more ETB in order to completely remove the water hyacinth infestation permanently,  
 918 and the farming productivity improves accordingly?

- 919 1) YES 2) NO

920 19. What is the maximum your household would pay **each year** in order to completely remove  
 921 the water hyacinth infestation permanently and the farming productivity improves accordingly?

- 922 1) 0 ETB 2) 0-250 ETB 3) 251-500 ETB 4) 501-750 ETB 5) 751-1000 ETB 6) 1001-  
 923 1500 ETB 7) >1500 ETB

924 19B) If above 1500 ETB, how much ETB do you want to contribute

925 *Answer Question #20 only if you said you would not pay anything.*

926 20. What was the main reason to pay 0 ETB? (Check only one)

- 927 1) I don't encounter problems due to water hyacinths  
 928 2) I don't feel responsible for this  
 929 3) I am on a limited budget and cannot contribute  
 930 4) Other:

931 **SECTION C: MIXED COMBINATION OF LABOR AND CASH MONEY WILLING**

932 STATUS QUO SCENARIO

934 15. What is the maximum your household would contribute to the Lake Tana protection program  
 935 in a **combination of man-days and cash money** yearly in order to keep water hyacinth infestation  
 936 constant at current levels rather than having the infestation spreading and covering more surface of the  
 937 Lake and Wetlands, thus keeping your farming productivity at the current level?

938 Man-days and ETB

939 IMPROVEMENT SCENARIO

940 16. What is the maximum your household would contribute to the Lake Tana protection  
 941 program in a **combination of man-days and cash money** yearly in order to completely remove the  
 942 water hyacinth infestation permanently and the farming productivity improves accordingly?

943 Man-days and ETB

944  
 945 **SECTION D: REASONS FOR NOT WILLING TO PAY**

946 15. What are your reasons not to contribute to counteract water hyacinth infestation in Lake  
947 Tana

- 948 1) I don't encounter problems due to water hyacinths
- 949 2) I don't feel responsible for this
- 950 3) I am on a limited budget and cannot contribute
- 951 4) Other:



## PERSONAL INFORMATION

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This information is for statistical purposes only and is completely anonymous.

1. Sex of the head (reporter) 0) MALE                      1) FEMALE

2. Age: \_\_\_\_\_ years

3. Location of the household's farm plot/s: 1) around LT 2) Far from LT

Woreda                      Kebele

4. Is the household head the owner of the farm plot/s

1) YES                      2) NO

5. Farm experience of the household head

1) 0-5 years                      2) 6-10 years                      3) 11-20 years                      4) Over 20 years

6. Highest education of the household head?

1) Can't read and write

2) None formal Education

3) Grades attended (1---24 years)

7. Have you participated/attended local conferences about the water hyacinth infestation?

1) YES                      2) NO

8. What is the main type of agricultural activity in your household?

1) CROP PRODUCTION                      2) LIVESTOCK                      3) MIXED

kind of crop/livestock: .....

9. What is the estimated average annual farm income of the household? (ETB)

10. What is the estimated average annual farm income of the household? (ETB) in category

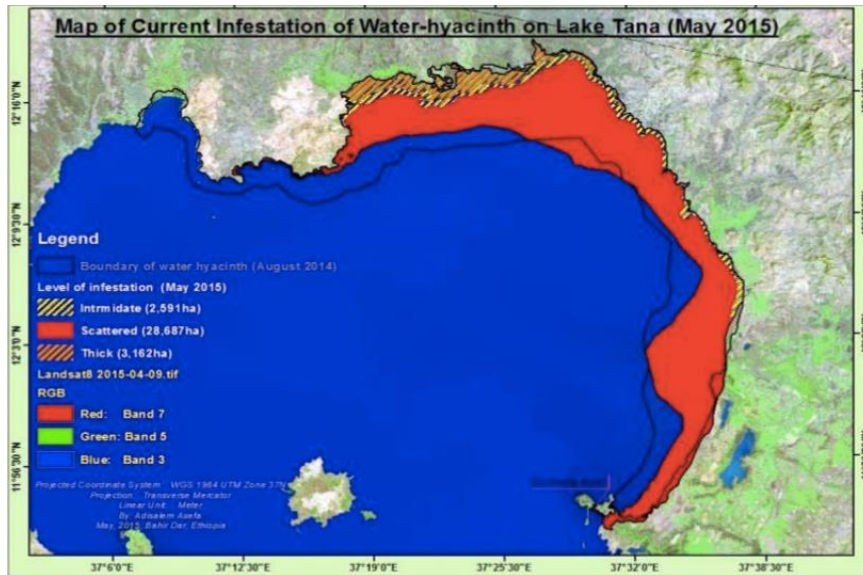
1) Less than 10000 ETB 2) 10000-25000 ETB 3) 25000-50000 ETB 4) > 50000 ETB

11. What is the estimated average annual off-farm income of the household? (eg fishing, craft work, ...)

1) Less than 5000 ETB 2) 5000- 10000 ETB 3) 10000-20000 ETB 4) > 20000 ETB

986 *B. Information Card*

987 The water hyacinth, endemic to South America, was introduced as an ornamental in Africa decades ago.  
988 Known as an invasive aquatic weed, the Regional Environmental Bureau named E. Crassipes as the  
989 **most dangerous weed challenging the Lake Tana ecosystem** in 2011. Studies claim that the  
990 infestation is still expanding today. The picture below shows the status of Lake Tana regarding E.  
991 Crassipes infestation in May 2015.



992  
993 The water hyacinth is known to hinder agricultural activities.

- 994 • **Livestock farming is affected** because the weed devastates native species, including grasses,  
995 thus reducing food availability for the livestock and invading the grazing lands.
- 996 • **Crop production is affected** since mats of water hyacinths cover rice fields in times of  
997 flooding. In infested areas farmers state that the land becomes more difficult to plough. The last  
998 years, farmers have to spend extra resources on cleaning up their farmlands.  
999

1000 Over the last years, multiple efforts to remove water hyacinths have been undertaken. However, after  
1001 mechanical removal of the water hyacinth, it takes only months before the surface is covered again.

1002  
1003 Picture of water hyacinths:



1004

1005 *C. Picture of water hyacinth removal campaign*



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